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## CAPACITORS, FIXED, TANTALUM,

## SOLID ELECTROLYTE

## **ESCC Generic Specification No. 3002**

ISSUE 1 October 2002



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## CAPACITORS, FIXED, TANTALUM,

## SOLID ELECTROLYTE

## **ESA/SCC** Generic Specification No. 3002

# space components coordination group

		Appro	oved by
lssue/Rev.	Date	SCCG Chairman	ESA Director General or his Deputy
lssue 5	April 1999	San mitt	Atom -
Revision 'A'	June 2002	·1. 1002	Arom



#### **DOCUMENTATION CHANGE NOTICE**

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#### 1. INTRODUCTION

#### 1.1 <u>SCOPE</u>

This specification defines the general requirements for the qualification approval, procurement, including lot acceptance testing, and delivery of Capacitors, Fixed, Tantalum, Solid Electrolyte, for space applications.

This specification contains the appropriate inspection and test schedules and also specifies the data documentation requirements.

#### 1.2 <u>APPLICABILITY</u>

This specification is primarily applicable to the granting of qualification approval to a component in accordance with ESA/SCC Basic Specification No. 20100 and the procurement of such components from qualified Manufacturers.

#### 2. <u>APPLICABLE DOCUMENTS</u>

The following documents form part of, and shall be read in conjunction with, this specification. The relevant issues shall be those in effect on the date of placing the purchase order.

#### 2.1 ESA/SCC SPECIFICATIONS

No. 20100, Requirements for the Qualification of Standard Electronic Components for Space Application.

No. 20400, Internal Visual Inspection.

No. 20500, External Visual Inspection.

- No. 20600, Preservation, Packaging and Despatch of SCC Electronic Components.
- No. 20900, Radiographic Inspection of Electronic Components.
- No. 21300, Terms, Definitions, Abbreviations, Symbols and Units.
- No. 21700, General Requirements for the Marking of SCC Components.
- No. 22800, ESA/SCC Non-conformance Control System.
- No. 23500, Lead Materials and Finishes for Components for Space Application.
- No. 24600, Minimum Quality System Requirements.

No. 24800, Resistance to Solvents of Marking, Materials and Finishes.

With the exception of ESA/SCC Basic Specifications Nos. 20100, 21700, 22800 and 24600, where Manufacturers' specifications are equivalent to, or more stringent than, the ESA/SCC Basic Specifications listed above, they may be used in place of the latter, subject to the approval of the appropriate Qualifying Space Agency.

Such replacements shall be clearly identified in the applicable Process Identification Document (P.I.D.) and listed in an Appendix to the appropriate Detail Specification.

Unless otherwise stated herein, references within the text of this specification to "the Detail Specification" shall mean the relevant ESA/SCC Detail Specification.



#### 2.2 OTHER (REFERENCE) DOCUMENTS

IEC Publication No. 410, Sampling Plans and Procedures for Inspection by Attributes or,

MIL-STD-105, Sampling Procedures and Tables for Inspection by Attributes.

MIL-STD-202, Test Methods for Electronic and Electrical Component Parts.

MIL-STD-414, Sampling Procedures and Tables for Inspection by Variables for Percent Defective. ESA/PSS-01-702, A Thermal Vacuum Test for the Screening of Space Materials.

#### 2.3 ORDER OF PRECEDENCE

For the purpose of interpretation and in case of conflict with regard to documentation, the following order of precedence shall apply:-

- (a) ESA/SCC Detail Specification.
- (b) ESA/SCC Generic Specification.
- (c) ESA/SCC Basic Specification.
- (d) Other documents, if referenced herein.

#### 3. TERMS, DEFINITIONS, ABBREVIATIONS, SYMBOLS AND UNITS

The terms, definitions, abbreviations, symbols and units specified in ESA/SCC Basic Specification No. 21300 shall apply.

#### 4. **REQUIREMENTS**

#### 4.1 GENERAL

The test requirements for qualification approval of a component shall comprise final production tests (see Chart II), burn-in and electrical measurements to testing level "B" (see Chart III) and qualification testing (see Chart IV).

The test requirements for procurement of components shall comprise final production tests (Chart II), burn-in and electrical measurements to testing level "B" or "C" as required (Chart III) together with, when applicable, a level of lot acceptance testing (see Chart V) to be specified by the Orderer.

If a Manufacturer elects to eliminate a final production test by substituting an in-process control or statistical process control procedure, the Manufacturer is still responsible for delivering components that meet all of the performance, quality and reliability requirements defined in this specification and the Detail Specification.

#### 4.1.1 <u>Specifications</u>

For qualification approval, procurement (including lot acceptance testing) and delivery of components in conformity with this specification, the specifications listed in Section 2 of this document shall apply in total unless otherwise specified herein or in the Detail Specification.

#### 4.1.2 Conditions and Methods of Test

The conditions and methods of test shall be in accordance with this specification, the ESA/SCC Basic Specifications referenced herein and the Detail Specification.

#### 4.1.3 Manufacturer's Responsibility for Performance of Tests and Inspections

The Manufacturer shall be responsible for the performance of tests and inspections required by the applicable specifications. These tests and inspections shall be performed at the plant of the Manufacturer of the components unless it is agreed by the Qualifying Space Agency prior to commencing qualification testing, or procurement, to use an approved external facility.



#### 4.1.4 Inspection Rights

The Qualifying Space Agency (for qualification approval or for a procurement) reserves the right to monitor any of the tests and inspections scheduled in the applicable specifications.

#### 4.1.5 Pre-encapsulation Inspection

The Manufacturer shall notify the Orderer at least 2 working weeks before the commencement of Pre-encapsulation Inspection. The Orderer shall indicate immediately whether or not he intends to witness the inspection.

#### 4.2 QUALIFICATION APPROVAL REQUIREMENTS ON A MANUFACTURER

To obtain and maintain the qualification approval of a component, or family of components, a Manufacturer shall satisfy the requirements of ESA/SCC Basic Specification No. 20100.

#### 4.3 DELIVERABLE COMPONENTS

Components delivered to this specification shall be processed and inspected in accordance with the relevant Process Identification Document (P.I.D.). Each delivered component shall be traceable to its production lot. Components delivered to this specification shall have completed satisfactorily all tests to the testing level and lot acceptance level specified in the purchase order (see Para. 4.3.2).

ESA/SCC qualified components delivered to this specification shall be produced from lots that are capable of passing all tests, and sequences of tests, that are defined in Charts IV and V. The Manufacturer shall not knowingly supply components that cannot meet this requirement. In the event that, subsequent to delivery and prior to operational use, a component is found to be in a condition such that it could not have passed these tests at the time of manufacture, this shall be grounds for rejection of the delivered lot.

Components failing inspections and tests of the higher testing level (i.e level "B") shall not be supplied against any order for components of the lower testing level.

#### 4.3.1 Lot Failure

Lot failure may occur during final production tests (Chart II), burn-in and electrical measurements (Chart III), qualification testing (Chart IV) or lot acceptance testing (Chart V).

Should such failure occur, the non-conformance procedure shall be initiated in accordance with ESA/SCC Basic Specification No. 22800.

Should such failure occur during procurement, the Manufacturer shall notify the Orderer by telex within 2 working days, giving details of the number and mode of failure and the suspected cause.

In the case where qualification approval has been granted to the component, he shall, at the same time by the same means, inform the Qualifying Space Agency in order that the latter may consider its implications.

No further testing shall be performed on the failed components except on instruction from the Orderer. The Orderer shall inform the Manufacturer and the Qualifying Space Agency within 2 working days of receipt of the telex, by the same means, what action shall be taken.

In the case when lot failure occurs during qualification testing, the Manufacturer shall immediately notify the appropriate Qualifying Space Agency who will define a course of action to be followed. No further testing shall be performed on the failed components.



#### 4.3.2 Testing and Lot Acceptance Levels

This specification defines 2 levels of testing severity which are designated by the letters "B" and "C" (see Chart I) and 3 levels of lot acceptance testing (see Chart V).

The lot acceptance levels are designated 1, 2 and 3 and are comprised of tests as follows:-

Level 3 (LA3) -	Electrical Subgroup.
Level 2 (LA2) -	Endurance Subgroup
	plus Electrical Subgroup.
Level 1 (LA1) -	Environmental and Mechanical Subgroup
	plus Endurance Subgroup
	alua Electrical Cubarous

plus Electrical Subgroup.

The required testing level and lot acceptance level shall both be specified in a purchase order.

#### 4.4 <u>MARKING</u>

All components procured and delivered to this specification from a source qualified according to ESA/SCC Basic Specification No. 20100 shall be marked in accordance with ESA/SCC Basic Specification No. 21700. Thus, they shall bear the ESA symbol to signify their conformance to the ESA/SCC qualification approval requirements and full compliance with the requirements of this specification and the Detail Specification.

Components procured from sources which are not ESA/SCC qualified, provided that they fully comply with the procurement requirements of this specification and the Detail Specification, may bear the SCC marking with the exception of the ESA symbol.

#### 4.5 MATERIALS AND FINISHES

All non-metallic external materials and finishes that are not within a hermetically sealed enclosure, of the components specified herein shall meet the outgassing requirements as outlined in ESA/PSS-01-702.

Specific requirements for materials and finishes are specified in the Detail Specification.

#### 5. **PRODUCTION CONTROL**

#### 5.1 <u>GENERAL</u>

The minimum requirements for production control, which are equally applicable to procurement, are defined in ESA/SCC Basic Specification No. 20100, Para's 5.1 and 5.2.

#### 5.2 SPECIAL IN-PROCESS CONTROLS

Where applicable, special in-process controls shall apply as specified in the Detail Specification.

#### 5.3 DOCUMENTATION

Documentation of special in-process controls shall be in accordance with the requirements of Para. 10.5 of this specification



NOTES

1. When applicable.



#### 6. **FINAL PRODUCTION TESTS**

#### 6.1 <u>GENERAL</u>

Unless otherwise specified in the Detail Specification, all components used for qualification testing and all components for delivery, including those submitted to lot acceptance tests, shall be subjected to tests and inspections in accordance with Chart II.

Unless otherwise specified in the Detail Specification, the tests shall be performed in the order shown.

Any components that do not meet these requirements shall be removed from the lot and at no future time be re-submitted to the requirements of this specification.

#### 6.2 TEST METHODS AND CONDITIONS

The applicable test methods and conditions are specified in the paragraphs referenced in Chart II of this specification.

#### 6.3 DOCUMENTATION

Documentation of final production test data shall be in accordance with the requirements of Para. 10.6 of this specification.

#### 7. BURN-IN AND ELECTRICAL MEASUREMENTS

#### 7.1 GENERAL

Unless otherwise specified in the Detail Specification, all components used for qualification testing and all components for delivery, including those submitted to lot acceptance tests, shall be subjected to tests and inspections in accordance with Chart III.

Unless otherwise specified in the Detail Specification, the tests shall be performed in the order shown.

The applicable test methods and conditions are specified in the paragraphs referenced in Chart III.

Components of testing level "B" shall be serialised prior to the tests and inspections.

#### 7.1.1 Conditions of Test

The conditions for burn-in shall be as shown in Table 5 of the Detail Specification.

Unless otherwise specified in the Detail Specification, components of testing level "B" shall be subjected to a total burn-in period of 168 hours and components of testing level "C" to a total burn-in period of 168 hours.

#### 7.1.2 Data Points

For components of testing level "B", undergoing a total burn-in period of 168 hours, the data points for parameter drift measurement shall be 0 hours (initial) and 168 (+24-0) hours (final).

For components of testing level "C", undergoing a total burn-in period of 168 hours, the data point for post-burn-in electrical measurements shall be 168 (+24-0) hours.

#### 7.2. FAILURE CRITERIA

#### - --

#### 7.2.1 Parameter Drift Failure

The acceptable delta limits are shown in Table 4 of the Detail Specification. A component of testing level "B" shall be counted as a parameter drift failure if the changes during burn-in are larger than the delta ( $\Delta$ ) values specified.



#### 7.2.2 Parameter Limit Failure

A component shall be counted as a limit failure if one or more parameters exceed the limits shown in Tables 2 or 3 of the Detail Specification.

Any component which exhibits a limit failure prior to the burn-in sequence shall be rejected and not counted when determining lot rejection.

#### 7.2.3 Other Failures

A component shall be counted as a failure in any of the following cases:

- Mechanical failure.
- Handling failure.
- Lost component.

#### 7.3 FAILED COMPONENTS

A component shall be considered as a failed component if it exhibits one or more of the failure modes described in Para. 7.2 of this specification.

#### 7.4 LOT FAILURE

In case of lot failure, the Manufacturer shall act in accordance with the requirements of Para. 4.3.1 of this specification.

#### 7.4.1 Lot Failure during 100% Testing

If the number of components failed on the basis of the failure criteria described in Para. 7.2 exceeds 5% (rounded upwards to the nearest whole number) of the number of components submitted to burn-in and electrical measurements, the lot shall be considered as failed.

If a lot is composed of groups of components of one family defined in one ESA/SCC Detail Specification, but separately identifiable for any reason, then the lot failure criteria shall apply separately to each identifiable group.

#### 7.4.2 Lot Failure during Sample Testing

A lot shall be considered as failed if the number of allowable failures during sample testing, in accordance with General Inspection Level II of IEC Publication No. 410 or MIL-STD-105 and the applicable AQL as specified in the Detail Specification, is exceeded.

In the case where an LTPD to MIL-STD-414 is specified in the Detail Specification, a lot shall be considered as failed if the number of failures allowed is exceeded (see Annexe I for LTPD Sampling Plan).

If a lot failure occurs in either case, a 100% testing may be performed with the lot failure criteria given in Para. 7.4.1.

#### 7.5 DOCUMENTATION

Data documentation of burn-in and electrical measurements shall be in accordance with Para. 10.7 of this specification.



#### 8. **QUALIFICATION APPROVAL AND LOT ACCEPTANCE TESTS**

#### 8.1 QUALIFICATION TESTING

#### 8.1.1 General

Qualification testing shall be in accordance with the requirements of Chart IV of this specification. The tests to Chart IV shall be performed on the specified sample, chosen at random from components which have successfully passed the tests in Charts II and III for testing level "B". This sample constitutes the qualification test lot.

The qualification test lot is divided into subgroups of tests and all components assigned to a subgroup shall be subjected to all of the tests in that subgroup, in the sequence shown.

The applicable test requirements are detailed in the paragraphs referenced in Chart IV.

The conditions governing qualification testing are given in ESA/SCC Basic Specification No. 20100, Para. 5.3 and, for the extension or renewal of qualification approval, in Paras. 6.3 and 6.4.

#### 8.1.2 Distribution within the Qualification Test Lot

A minimum sample of 92 components shall be submitted to qualification testing (Chart IV). The sample shall consist of test vehicles having the lowest and highest voltages and, for these voltages, the smallest and largest case size. If there are more than 4 case sizes, an intermediate case size shall also be tested. Of each of these case size/voltage combinations, the highest capacitance value and, for that value, the tightest tolerance shall be chosen. Thus, for the qualification approval of a series, testing is required on either 2, 3, 4 or more test vehicles.

Where a series comprises of more than 4 test vehicles, the minimum quantity of components per test vehicle must be:-

Subgroup 1 - 3 components.

Subgroup 2 - 3 components.

Subgroup 3 - 3 components.

Subgroup 4 - 10 components.

Subgroup 5 - 4 components.

Where a series comprises of less than 4 test vehicles, the sample shall be evenly distributed between the test vehicles.

The selected distribution shall be agreed with the Qualifying Space Agency.

#### 8.2 LOT ACCEPTANCE TESTING

#### 8.2.1 General

The sample sizes of the 3 lot acceptance levels are specified in Chart V. All components assigned to a subgroup shall be subjected to all of the tests of that subgroup in the sequence shown.

The tests to Chart V shall be performed on the specified sample which shall have been chosen, whenever possible, at random from the proposed delivery lot (but see Para. 8.2.3(b)). The applicable test requirements are detailed in the paragraphs referenced in Chart V.

As a minimum for procurement of non-qualified components, lot acceptance level 3 tests shall apply. For procurement of qualified components, lot acceptance testing shall be performed if specified in a purchase order. Procurement lots ordered with a lot acceptance test level shall be delivered only after successful completion of lot acceptance testing.



#### 8.2.2 Distribution within the Sample for Lot Acceptance Testing

Where a Detail Specification covers a range or series of components that are considered similar, then it may be necessary that the sample for lot acceptance testing be comprised of component types so selected that they adequately represent all of the various mechanical, structural and electrical peculiarities of the procured range or series.

#### 8.2.3 Lot Acceptance Level 3 Testing (LA3)

Lot acceptance level 3 tests are designated as the electrical subgroup and comprise electrical measurements of characteristics and tests to prove the assembly capability of the component. For LA3 testing, the following requirements and conditions shall apply:-

- (a) LA3 testing shall be performed by the Manufacturer's quality assurance personnel using dedicated quality assurance equipment whenever possible. LA3 testing shall not be a repetition of routine measurements made by production personnel during final production tests and burn-in and electrical measurements.
- (b) When tests to Tables 2 and 3 of the Detail Specification have been performed on a sample basis, then the components for LA3 testing shall be selected from this sample.
- (c) The electrical measurements for LA3 are considered to be non-destructive and therefore components so tested may form part of the delivery lot.
- (d) The surge voltage and solderability tests are considered to be destructive and therefore components so tested shall not form part of the delivery lot. Post-burn-in electrical rejects may be used for these tests.
- (e) When required in the purchase order, the Manufacturer shall notify the Orderer at least 2 working weeks before the commencement of LA3 testing. The Orderer shall indicate immediately whether or not he intends to witness the tests.

#### 8.2.4 Lot Acceptance Level 2 Testing (LA2)

Lot acceptance level 2 testing shall comprise the tests for LA3 (electrical subgroup) plus tests on an endurance subgroup. For the electrical subgroup, the requirements and conditions as for LA3 (see Para. 8.2.3) shall apply.

For the endurance subgroup, the following shall apply:-

- (a) Components of testing level "C", selected for the endurance subgroup, shall be serialised prior to the tests.
- (b) The tests in this subgroup are considered to be destructive and therefore components (of testing level "B" or "C") so tested shall not form part of the delivery lot.

#### 8.2.5 Lot Acceptance Level 1 Testing (LA1)

Lot acceptance level 1 testing shall comprise the tests for LA3 (electrical subgroup) and LA2 (endurance subgroup) plus tests on an environmental and mechanical subgroup. For the electrical and endurance subgroups, the requirements and conditions for LA3 (see Para. 8.2.3) and LA2 (see Para. 8.2.4) respectively shall apply.

For the environmental subgroup, the following shall apply:-

- (a) Components of testing level "C", selected for the environmental subgroup, shall be serialised prior to the tests.
- (b) The tests in this subgroup are considered to be destructive and therefore components (of testing level "B" or "C") so tested shall not form part of the delivery lot.



#### 8.3 FAILURE CRITERIA

The following criteria shall apply to qualification testing and to lot acceptance testing.

#### 8.3.1 Environmental and Mechanical Test Failures

The following shall be counted as component failures:

- Components which fail during tests for which the pass/fail criteria are inherent in the test method, e.g. seal, solderability, terminal strength, etc.

#### 8.3.2 <u>Electrical Failures</u>

The following shall be counted as component failures:-

- (a) Components which, when subjected to electrical measurements on completion of environmental tests, in accordance with either Table 2 or Table 6, as specified in the Detail Specification, fail one or more of the applicable limits.
- (b) Components which, when subjected to electrical measurements at intermediate and end-points during endurance testing, in accordance with Table 6 of the Detail Specification, fail one or more of the applicable limits.
- (c) Components which, when subjected to measurement of electrical characteristics, in accordance with Tables 2 and 3 of the Detail Specification, fail one or more of the applicable limits.

#### 8.3.3 Other Failures

The following additional failures may also occur during qualification testing or lot acceptance testing:-

- (a) Components failing to comply with the requirements of ESA/SCC Basic Specification No. 20500.
- (b) Lost components.

#### 8.4 FAILED COMPONENTS

A component shall be considered as failed if it exhibits one or more of the failure modes detailed in Para. 8.3 of this specification. The allowable number of failed components per Subgroup, the aggregate failure constraints and the permitted distribution of such failures are shown at the foot of Charts IV and V of this specification.

When requested by the Qualifying Space Agency or the Orderer, failure analysis of failed components shall be performed by the Manufacturer and the results provided.

Failed components from successful lots shall be marked as such and be stored at the Manufacturer's plant for 24 months.

#### 8.5 LOT FAILURE

A lot shall be considered as failed if the allowable number of failures according to Chart IV or V of this specification, as relevant, has been exceeded.

In the case of lot failure, the Manufacturer shall act in accordance with Para. 4.3.1 of this specification.

#### 8.6 DOCUMENTATION

For qualification testing, the qualification test data shall be documented in accordance with the requirements of Para. 10.8 of this specification.

In the case of lot acceptance testing, the data shall be documented in accordance with the requirements of Para. 10.9 of this specification.



#### **CHART II - FINAL PRODUCTION TESTS**

	Production and Controls in accordance with Section 5 of this specification	
Para. 9.1	Internal (Pre-encapsulation) Visual Inspection	
Para. 9.20	Final Assembly	
Para. 9.2	Thermal Shock	
Para. 9.22	Surge Current Test	
Para. 9.21	Body Sleeving	
Para. 9.7.4	Electrical Measurements at Room Temperature	
Para. 4.4	Marking (plus Serialisation for Level "B")	
Para. 9.3	External Visual Inspection (Inspection Level II, AQL 1.0%)	
Para. 9.4	Dimension Check	
Para. 9.6	Seal Test (1)	
	TO CHART III	

**NOTES** 1. For hermetically sealed capacitors only.

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#### **CHART III - BURN-IN AND ELECTRICAL MEASUREMENTS**

	Components from Final Production Tests	Testing	Levels
		В	С
Para. 9.7.2	Parameter Drift Value, Initial Measurements	X	-
Para. 7.1	Burn-in, 168 hours	X	х
Para. 9.7.2	Parameter Drift Value, Final Measurements	X	<b>.</b>
Para. 9.7.3	Electrical Measurements at High and Low Temperatures	X	х
Para. 9.7.4	Electrical Measurments at Room Temperature (1)	X	х
Para. 9.3	External Visual Inspection	X	х
Para. 9.5	Radiographic Inspection (2) (3)	X	х
Para. 7.4	Check for Lot Failure	X	х
	TO CHART IV OR V		

#### NOTES

- 1. The measurements of parameters for the purpose of drift value measurements need not be repeated for electrical measurements at room temperature.
- 2. Radiographic Inspection may be performed at any point during the test sequence shown in this Chart.
- 3. Radiographic Inspection rejects are not to be counted for PDA.



#### **CHART IV - QUALIFICATION TESTS**



Total allowable number of failed components: 3.

#### **NOTES**

- 1. For distribution within the subgroups, see Para. 8.1.2.
- 2. For hermetically sealed capacitors only. For sleeved capacitors, Seal Test is to be performed after External Visual Inspection with the sleeve removed.



#### **CHART V - LOT ACCEPTANCE TESTS**



#### **NOTES**

- 1. For distribution within the subgroups, see Para. 8.2.2.
- 2. For hermetically sealed capacitors only. For sleeved capacitors, Seal Test is to be performed after External Visual Inspection with the sleeve removed.
- 3. Post burn-in electrical rejects may be used for this test.



#### 9. TEST METHODS AND PROCEDURES

If a Manufacturer elects to eliminate or modify a test method or procedure, the Manufacturer is still responsible for delivering components that meet all of the performance, quality and reliability requirements defined in this specification and the Detail Specification.

Documentation supporting the change shall be approved by the Qualifying Space Agency and retained by the Manufacturer. It shall be copied, when requested, to the Qualifying Space Agency.

The change shall be specified in the Detail Specification and in the P.I.D.

#### 9.1 INTERNAL VISUAL INSPECTION

In accordance with ESA/SCC Basic Specification No. 20400.

#### 9.2 THERMAL SHOCK

The capacitors shall be tested in accordance with MIL-STD-202 Method 107. The following details shall apply:-

#### (a) Test Condition: 'A'

The elevated temperature shall be the maximum operating temperature specified in Table 1(b) of the Detail Specification.

(b) Measurements Before and After Cycling:

Not applicable.

#### 9.3 EXTERNAL VISUAL INSPECTION

In accordance with ESA/SCC Basic Specification No. 20500.

#### 9.4 DIMENSION CHECK

In accordance with ESA/SCC Basic Specification No. 20500 and the Detail Specification. The dimension check shall be performed by sampling on 5 devices of each case style only.

If 1 failure occurs, the complete lot shall be checked.

#### 9.5 RADIOGRAPHIC INSPECTION

In accordance with ESA/SCC Basic Specification No. 20900.

#### 9.6 SEAL TEST

The capacitors shall be examined for evidence of leakage. The seal test shall be performed in accordance with MIL-STD-202, Method 112, Test Condition 'A'.

#### 9.7 ELECTRICAL MEASUREMENTS

#### 9.7.1 <u>General</u>

Electrical measurements and methods shall be as follows:-

9.7.1.1 Capacitance

Capacitance shall be measured in accordance with MIL-STD-202 Method 305.

The following requirements shall be applicable:-

(a) **Test Frequency**: 120 ± 5 Hz.

- ----

- (b) Limit of Accuracy: Measurement accuracy shall be within ±2.0% of the reading.
- (c) Magnitude of Polarising Voltage: The maximum d.c. bias shall be 2.2V for all a.c. measurements.

The magnitude of the a.c. voltage shall be limited to 1.0Vrms.



#### 9.7.1.2 D.C. Leakage

The d.c. leakage shall be measured using the d.c. rated voltage  $\pm 2.0\%$  at the applicable test temperature after a maximum electrification period of 5 minutes. A 1 000 $\Omega$  resistor shall be placed in series with the capacitor to limit the charging current. A steady source of power, such as a regulated power supply, shall be used. Measurement accuracy shall be within 0.02µA.

#### 9.7.1.3 Dissipation Factor

The dissipation factor of each capacitor shall be measured at a frequency of  $120 \pm 5$  Hz by means of a polarised capacitance bridge. The bridge shall provide a dial reading accuracy of 0.1% dissipation factor and a measuring accuracy of  $\pm 2.0\%$  of the measured dissipation factor  $\pm 0.001$ .

#### 9.7.2 Parameter Drift Value Measurements

At each of the relevant data points for components of testing level "B", measurements shall be made of all parameters listed in Table 4 of the Detail Specification. All values obtained shall be recorded and the parameter drift calculated.

#### 9.7.3 Electrical Measurements at High and Low Temperatures

For components of testing levels "B" and "C", the electrical measurements at high and low temperatures shall be made in accordance with Table 3 of the Detail Specification. Where sample testing is applied, note the requirements of Para. 8.2.3(b). For testing level "B", all values obtained shall be recorded against serial numbers.

#### 9.7.4 <u>Electrical Measurements at Room Temperature</u>

For components of testing levels "B" and "C", the measurements of electrical characteristics shall be made in accordance with Table 2 of the Detail Specification. Where sample testing is applied, note the requirements of Para. 8.2.3(b). For testing level "B", all values obtained shall be recorded against serial numbers.

#### 9.7.5 Parameter Measurements during Endurance Testing

At each of the relevant data points required for endurance testing, measurements shall be made of all parameters listed in Table 6 of the Detail Specification. All values obtained shall be recorded against serial numbers and the parameter drift calculated, if required.

#### 9.8 SHOCK (SPECIFIED PULSE)

Mechanical shock shall be performed in accordance with MIL-STD-202, Method 213, Condition 'C'. The following requirements shall apply:-

#### (a) Mounting Means:

Capacitor bodies shall be rigidly secured to the mounting fixtures. Leads shall be secured to rigid terminals and spaced in such a manner that the length of each lead from the capacitor is approximately 10mm when measured from the edge of the supporting terminal. When securing the leads, care shall be taken to avoid pinching them.

#### (b) Electrical Loading Conditions:

The d.c. rated voltage shall be applied to the capacitors during testing.

#### (c) Measurements during Shock:

During testing, intermittent contact, arcing, open- or short-circuit shall be observed. Detection equipment shall be sensitive enough to detect any electrical interruption greater than 0.5ms.



#### (d) **Examination after Testing**:

Capacitors shall be visually examined for evidence of arcing, breakdown and mechanical damage.

#### 9.9 VIBRATION

Vibrations shall be performed in accordance with MIL-STD-202, Method 204, Condition 'D' (2 axes only). The following requirements shall apply:-

#### (a) Mounting Means:

Capacitors shall be securely attached to the vibration table by their leads and their bodies by supplementary mounting means.

#### (b) Electrical Load Conditions:

The specified d.c. rated voltage shall be applied to the capacitors.

#### (c) Measurements during Vibration:

During the last cycle, an electrical measurement shall be made to determine intermittent operation or open- or short-circuiting. Observations shall also be made to determine intermittent contact, arcing, open- or short-circuit. Detection equipment shall be sufficiently sensitive to detect any interruption greater then 0.5ms.

#### (d) Examination after Testing:

Capacitors shall be visually examined for evidence of mechanical damage.

#### 9.10 THERMAL SHOCK AND IMMERSION

#### 9.10.1 <u>Thermal Shock</u>

Thermal Shock shall be performed in accordance with MIL-STD-202 Method 107. The following details shall apply:-

#### (a) Test Condition: 'A'

The elevated temperature shall be the maximum operating temperature specified in Table 1(b) of the Detail Specification.

#### (b) **Conditioning**:

Conditioning prior to the first cycle shall be 15 minutes at standard atmospheric conditions.

#### 9.10.2 Immersion

Immersion shall be performed in accordance with MIL-STD-202, Method 104, Condition 'B'. The following requirements shall apply:-

#### (a) Measurement after Final Cycle:

Within 30 minutes of removal from final immersion bath, measurements shall be made in accordance with the requirements specified in Table 6 of the Detail Specification. The maximum permitted capacitance change shall be as specified in Table 6 of the Detail Specification, but shall not exceed  $\pm 3.0\%$ .

#### (b) Examination after Test:

Capacitors shall be visually examined for evidence of corrosion, mechanical damage and obliteration of marking.



#### 9.11 RESISTANCE TO SOLDERING HEAT

Resistance to soldering heat shall be tested in accordance with MIL-STD-202, Method 210, Condition 'B'. The following requirements shall be applicable:-

#### (a) Special Preparation of Specimens:

Sample units shall not have been soldered in any previous tests.

#### (b) Depth of Immersion in Molten Solder:

The leads shall be immersed to within 6.0mm of the eyelets or seal or case.

#### (c) Measurements after Test:

Cooling time prior to measurement shall be minimum 10 minutes.

The measurements shall be performed in accordance with the requirements specified in Table 6 of the Detail Specification.

#### 9.12 SOLDERABILITY

The solderability test shall be performed in accordance with MIL-STD-202, Method 208. The following requirements shall be applicable:-

(a) Special Preparation of Specimens:

Sample units shall not have been soldered in any previous tests.

- (b) Number of terminations of each part to be tested: 2.
- (c) **Depth of immersion in flux and solder:** Leads shall be immersed to within 3.0mm of the eyelets or seal or case.

#### 9.13 TERMINAL STRENGTH

Terminal strength shall be tested in accordance with MIL-STD-202, Method 211.

#### 9.13.1 Pull Test

The following requirements shall apply:-

- (a) **Test Condition**: 'A'.
- (b) Method of holding: The capacitors shall be secured.
- (c) Applied Force: See the Detail Specification.

#### 9.13.2 Twist Test

The following requirements shall apply:-

- (a) Test Condition: 'D'.
- (b) Number of Rotations: 3.

At the end of these tests, the capacitors shall be visually examined for loosening of terminals and permanent damage to the terminals, terminal welds or terminal solder, as applicable.



#### 9.14 MOISTURE RESISTANCE

Moisture resistance shall be tested in accordance with MIL-STD-202, Method 106. The following requirements shall apply:-

#### (a) Mounting:

Except during examination and measurements, the capacitors shall be securely fastened by their bodies.

#### (b) Final Measurements:

After the final cycle and within 2 to 6 hours after removal of the capacitors from the humidity chamber, d.c. leakage, capacitance and dissipation factor shall be measured in accordance with the requirements specified in Table 6 of the ESA/SCC Detail Specification.

#### (c) Examination after Test:

The capacitors shall be visually examined for evidence of corrosion, mechanical damage and obliteration of marking.

#### 9.15 HIGH AND LOW TEMPERATURE STABILITY

The capacitors shall be measured for d.c. leakage, capacitance and dissipation factor as specified in Para's. 9.7.1.2, 9.7.1.1 and 9.7.1.3 respectively at each of the temperatures specified hereafter, except that d.c. leakage measurements at  $-55^{\circ}$ C (step 2) are not required. The capacitors shall be brought to thermal stability at each test temperature.

Thermal stability will have been reached when no further change in capacitance is observed between 2 successive measurements taken at 15 minute intervals.

STEP	TEST TEMPERATURE (°C)
1	+ 25 ± 3.0
2	- 55( + 0 - 3.0)
3	+ 25 <u>+</u> 3.0
4	+ 85( + 4.0 - 0)
5	+ 125( + 4.0 - 0)
6	+ 25 ± 3.0

The thermal stability values shall not exceed the limits/changes specified in Table 3 of the Detail Specification.

#### 9.16 SURGE VOLTAGE

Capacitors shall be subjected to 1 000 cycles of the applicable surge voltage specified in Table 1(a) of the Detail Specification. The ambient temperature during cycling shall be  $\pm 85^{\circ}$ C. Each cycle shall consist of a 30 second surge voltage application, followed by a 30 second discharge period. Voltage application shall be through a resistor of  $33\Omega$ . The tolerance of the resistor shall be  $\pm 5.0\%$ . Each surge voltage shall be performed in such a manner that the capacitor is shorted terminal to terminal through a copper bar or an equivalent low resistance at the end of the 30 second application. An alternative method of shorting the capacitors is discharge through the same resistance that is used for charging. After the final cycle, the capacitance and dissipation factor shall be measured in accordance with the requirements specified in Table 6 of the Detail Specification.



#### 9.17 SLEEVING

#### 9.17.1 Insulating Sleeving Voltage Proof

The capacitors shall be placed in a V-block. The sleeving shall then be subjected to a d.c. potential of 2 000V with a maximum leakage of  $20\mu$ A between the capacitor case and the intimately associated metal V-block. The voltage shall be applied uniformly at the rate of 500V per second. Electrification time shall be 1.0 minute ± 5.0 seconds.

#### 9.17.2 Insulating Sleeving Insulation Resistance

The capacitors shall be placed in a V-block as specified in Para. 9.17.1.

The insulation resistance between the case and the V-block shall be measured with a polarising voltage of  $500 \pm 50$  Volts d.c. for 1 minute(+15-0 seconds) and shall not be less than the value specified in Table 6 of the Detail Specification.

The measurement shall be repeated 5 times, turning the capacitor in the block each time.

#### 9.18 OPERATING LIFE

#### 9.18.1 Operating Life during Qualification Testing

MIL-STD-202, Test Method 108.

(a) **Duration**: 2 000 hours.

#### (b) **Temperature Measurements**:

Distance of temperature measurements from specimens: no requirement.

(c) Method of Mounting:

Capacitors shall be mounted by their leads.

#### (d) **Operating Conditions**:

Rated d.c. voltage for the test at +85°C, or derated voltage for the test at +125°C, shall be applied gradually (but time not to exceed 5 minutes), either by a slow build-up of the voltage or through a resistor which shall be shorted out within 5 minutes. The voltage shall be applied continuously except for measurement periods. The impedance of the voltage source, as seen from the terminals of each capacitor, shall not exceed  $3.0\Omega$ . Storage batteries or an electronic power supply, capable of supplying at least 1.0A when a capacitor is shorted, shall be used.

#### (e) Intermediate and End Data Points:

Measurements at intermediate and end data points in accordance with Table 6 of the Detail Specification at 0,  $250 \pm 48$ , 1  $000 \pm 48$  and 2  $000 \pm 48$  hours.

In the case where Table 6 specifies "changes", the drift shall always be related to the 0-hour measurement.



#### 9.18.2 Operating Life during Lot Acceptance Testing

MIL-STD-202, Test Method 108.

- (a) **Duration**: 1 000 hours.
- (b) Temperature Measurements:

Distance of temperature measurements from specimens: no requirement.

#### (c) Method of Mounting:

Capacitors shall be mounted by their leads.

#### (d) **Operating Conditions**:

Rated d.c. voltage for the test at +85°C shall be applied gradually (but time not to exceed 5 minutes), either by a slow build-up of the voltage or through a resistor which shall be shorted out within 5 minutes. The voltage shall be applied continuously except for measurement periods. The impedance of the voltage source, as seen from the terminals of each capacitor, shall not exceed  $3.0\Omega$ . Storage batteries or an electronic power supply, capable of supplying at least 1.0A when a capacitor is shorted, shall be used.

#### (e) Intermediate and End Data Points:

Measurements at intermediate and end data points in accordance with Table 6 of the Detail Specification at 0,  $250 \pm 48$  and  $1\ 000 \pm 48$  hours.

In the case where Table 6 specifies "changes", the drift shall always be related to the 0-hour measurement.

#### 9.19 PERMANENCE OF MARKING

In accordance with ESA/SCC Basic Specification No. 24800.

#### 9.20 FINAL ASSEMBLY

Final assembly shall be performed in accordance with the Process Identification Document (P.I.D.).

#### 9.21 BODY SLEEVING

Body sleeving shall be performed, when required, in accordance with the Process Identification Document (P.I.D.).



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#### 9.22 SURGE CURRENT TEST

A surge current test shall be performed at room temperature as follows:

- (a) After rapid change of temperature of Chart II.
- (b) Without intermediate electrical measurements between rapid change of temperature and surge current test.
- (c) Each capacitor under test shall be submitted to 5 charge/discharge surge current cycles of at least 0.5s per charge and 0.5s per discharge, at the rated voltage of the capacitor under test.
- (d) The test circuit shall comply with the following conditions (see Figure below):
- The test shall be performed on an individual capacitor.
- The power supply used for charging the energy storage capacitor bank shall be capable of supplying a regulated d.c. voltage, variable from 0 to 100V minimum at a 10A minimum current capability.
- The capacitor shall be placed across the d.c. power supply and shall be continually charged. it shall consist of very low ESR aluminium electrolytic capacitors, connected in parallel, having a capacitance of 20 000µF minimum.
- The bank capacitor shall provide, across the capacitor under test, a peak surge current value equal to the test voltage divided per ESR of the capacitor under test plus total circuit resistance. The requirement shall be verified for each test line.
- For calibration, the monitoring of the voltage across a capacitor of 47µF ±10% 35V under test shall demonstrate that the peak voltage across the capacitor during charging is rated voltage ±5.0% and that 90% of rated voltage is achieved within the first 100µs. This requirement shall be verified for each test line.
- A 30A mercury relay or equivalent shall be used to switch the capacitor under test to the energy bank for charge and into a short-circuit of not more than 0.2Ω maximum for discharge.
- The total resistance of all wiring between the energy source and the capacitor under test, including the mercury relay, the ESR of the capacitor bank and the fuse, shall not exceed  $0.5\Omega$  maximum.
- The fuse in the test circuit shall have a rating of not less than 1A and not more than 5A. A fuse shall be placed in series with each capacitor undergoing the test.
- A capacitor under test shall be considered a failure either when the fuse blows or the d.c. leakage current limit exceeds the nominal value.







#### ISSUE 5

#### 10. DATA DOCUMENTATION

#### 10.1 <u>GENERAL</u>

For the qualification approval records and with each component delivery, a data documentation package is required. Depending on the testing level and lot acceptance level specified for the component, this package shall be compiled from:-

- (a) Cover sheet (or sheets).
- (b) List of equipment (testing and measuring).
- (c) List of test references.
- (d) Special in-process control test data (when required by the Detail Specification).
- (e) Final production test data (Chart II) (but see Para. 10.6).
- (f) Burn-in and electrical measurement data (Chart III).
- (g) Qualification test data (Chart IV).
- (h) Lot acceptance test data (Chart V) (when applicable).
- (i) Failed component list (see Para's 7.3 and 8.4) and failure analysis report (see Para. 8.4).
- (j) Certificate of Conformity.
- (k) Radiographic inspection photographs.

Items (a) to (k) inclusive shall be grouped, preferably as subpackages and, for identification purposes, each page shall include the following information:

- ESA/SCC Component Number.
- Manufacturer's name.
- Lot identification.
- Date of establishment of the document.
- Page number.

#### 10.1.1 Qualification Approval

In the case of qualification approval, the items listed in Para. 10.1 (a) to (k) less item (h) are required.

#### 10.1.2 Testing Level "B"

10.1.2.1 Qualified Components

For deliveries of qualified components, the following documentation shall be supplied:-

- (a) Cover sheet (if all of the information is not included on the Certificate of Conformity).
- (b) Certificate of Conformity (including range of delivered serial numbers).
- (c) Attributes record of measurements, tests and inspections performed in Chart II, Chart III (including PDA figure) and Chart V (where applicable).
- (d) Failed components list.

- --



#### 10.1.2.2 Unqualified Components

For deliveries of unqualified components, the documentation to be supplied shall be in accordance with Para. 10.1.2.1 plus the following:-

- (a) Read and record data from Chart III.
- (b) Special in-process control data (where applicable).
- (c) Failure analysis report on failed components.

#### 10.1.3 <u>Testing Level "C"</u>

#### 10.1.3.1 Qualified Components

For deliveries of qualified components, the following documentation shall be supplied:-

(a) Certificate of Conformity.

#### 10.1.3.2 Unqualified Components

For deliveries of unqualified components, the documentation to be supplied shall be in accordance with Para. 10.1.3.1 plus the following:-

- (a) Cover sheet (if all of the information is not included on the Certificate of Conformity).
- (b) Attributes record of all measurements, tests and inspections performed in Charts II, III and V (when applicable).
- (c) Failed components list (including Failure Analysis Report).
- (d) Special in-process control data (when applicable).

#### 10.1.4 Data Retention/Data Access

If not delivered, all data shall be retained by the Manufacturer for a minimum of 5 years during which time it shall be available to the Qualifying Space Agency and the Orderer, if requested, for review. The Manufacturer shall deliver variables Data/Reports to the Orderer if required by the Purchase Order.

#### 10.2 COVER SHEET(S)

The cover sheet(s) of the data documentation package shall include as a minimum:-

- (a) Reference to the Detail Specification, including issue and date.
- (b) Reference to the applicable ESA/SCC Generic Specification, including issue and date.
- (c) Component type and number.
- (d) Lot identification.
- (e) Range of delivered serial numbers (for components of testing level "B").
- (f) Number of purchase order.
- (g) Information relative to any additions to this specification and/or the Detail Specification.
- (h) Manufacturer's name and address.
- (j) Location of the manufacturing plant.

· .....

- (k) Signature on behalf of Manufacturer.
- (I) Total number of pages of the data package.



#### 10.3 <u>LIST OF EQUIPMENT USED</u>

A list of equipment used for tests and measurements shall be prepared, if not in accordance with the data given in the Process Identification Document (P.I.D.). Where applicable, this list shall contain inventory number, Manufacturer's type number, serial number, etc. This list shall indicate for which tests such equipment was used.

#### 10.4 LIST OF TEST REFERENCES

This list shall include all Manufacturer's references or codes which are necessary to correlate the test data provided with the applicable tests specified in the tables of the Detail Specification.

#### 10.5 SPECIAL IN-PROCESS CONTROL DATA

As specified in the Detail Specification.

#### 10.6 FINAL PRODUCTION TEST DATA (CHART II)

A test result summary shall be compiled showing the total number of components submitted to, and the total number rejected after, each of the following tests:

Internal visual inspection	(Para. 9.1).
Thermal shock	(Para. 9.2).
Electrical measurements at room temperature	(Para. 9.7.4).
External visual inspection	(Para. 9.3).
Dimension check	(Para. 9.4).
Seal	(Para. 9.6).
	Thermal shock Electrical measurements at room temperature External visual inspection Dimension check

The final production test data shall form an integral part of the data documentation package, but it is not a mandatory requirement that it be delivered with the qualification lot or delivery lot. However, the data package to be delivered shall contain the information as detailed in Paras. 10.1.2 and 10.1.3 or at least shall contain a list of final production tests actually performed and a certification that the data is available for review.

#### 10.7 BURN-IN AND ELECTRICAL MEASUREMENT DATA (CHART III)

#### 10.7.1 <u>Testing Level "B"</u>

For components of testing level "B", all data shall refer to the relevant serial numbers. Against these serial numbers, data shall be recorded of the following:-

- (a) 0-hour measurement for burn-in.
- (b) 168-hour measurement for burn-in.
- (c) Delta values after burn-in.
- (d) Values obtained during measurements at high and low temperatures (Table 3 of the Detail Specification).
- (e) Values obtained during measurements of electrical characteristics (Table 2 of the Detail Specification).
- (f) Failures during external visual inspection.
- (g) Photographs from radiographic inspection, including those of reject components.



#### 10.7.2 Testing Level "C"

For components of testing level "C", a test result summary (i.e. the total number of components subjected to, and the total number rejected from, each of the tests and inspections) shall be prepared.

#### 10.8 QUALIFICATION TEST DATA (CHART IV)

All data shall be referenced to the relevant serial numbers. Detailed records shall be provided of the components submitted to each test in each of the subgroups and of those rejected. Detailed data shall be provided of all electrical measurements made in accordance with Tables 2 and 6 of the Detail Specification, as and where applicable.

#### 10.9 LOT ACCEPTANCE TEST DATA (CHART V)

#### 10.9.1 Testing Level "B"

All data shall be referenced to the relevant serial numbers. Detailed records shall be provided of the components submitted to each test in each of the subgroups (as relevant to the lot acceptance level) and of those rejected.

Detailed data shall be provided of all electrical measurements made in accordance with Tables 2, 3 and 6 of the Detail Specification, as and where applicable.

#### 10.9.2 Testing Level "C"

A test result summary (i.e. the total number of components submitted to, and and the total number rejected from, each of the tests and inspections) as relevant to the lot acceptance level shall be provided.

In the case of lot acceptance 2 testing, all data in respect of electrical measurements made in accordance with Table 6 of the Detail Specification shall be referenced to the relevant serial numbers (see Para. 8.2.4(a)).

In the case of lot acceptance 1 testing, all data in respect of electrical measurements made in accordance with Tables 2 and 6 of the Detail Specification shall be referenced to the relevant serial numbers (see Para. 8.2.5(a)).

#### 10.10 FAILED COMPONENTS LIST AND FAILURE ANALYSIS REPORT

The failed component list and failure analysis report shall provide full details of:-

- (a) The reference number and description of the test or measurement performed as defined in this specification and/or the Detail Specification.
- (b) The serial number (if applicable) of the failed component.
- (c) The failed parameter and the failure mode of the component.
- (d) Detailed failure analysis, if requested.

#### 10.11 CERTIFICATE OF CONFORMITY

A Certificate of Conformity shall be established as defined in ESA/SCC Basic Specification No. 20100.



#### 11. <u>DELIVERY</u>

For qualification approval, the disposition of the qualification test lot and its related documentation shall be as specified in ESA/SCC Basic Specification No. 20100 and the relevant paragraphs of Section 10 of this specification.

For procurement, for each order, the items forming the delivery are:-

- (a) The delivery lot.
- (b) The components used for lot acceptance testing, (when applicable), but not forming part of the delivery lot (see Para's 8.2.3(d), 8.2.4(b) and 8.2.5(b)).
- (c) The relevant documentation in accordance with the requirements of Section 10 of this specification.

In the case of a component for which a valid qualification approval is in force, all data of all components submitted to LA1 and LA2 testing shall also be copied, when requested, to the relevant Qualifying Space Agency.

#### 12. PACKAGING AND DESPATCH

The packaging and despatch of components to this specification shall be in accordance with the requirements of ESA/SCC Basic Specification No. 20600.



#### ANNEXE I

#### Page 1 of 3

#### LTPD SAMPLING PLAN LOT SIZES GREATER THAN 200 DEVICES

Minimum size of sample to be tested to assure with a 90% confidence that a lot whose Percent Defective equals the specified LTPD is not accepted (single sample).

Max. Percent Defective (LTPD) or λ	50	30	20	15	10	7	5	3	2	1.5	1	0.7	0.5	0.3	0.2	0.15	0.1
Acceptance Number (c) (r = c + 1)	nber (c) MINIMUM SAMPLE SIZES																
0	5 (1.03)	8 (0.64)	11 (0.46)	15 (0.34)	22 (0.23)	32 (0.16)	45	76	116	153	231	328 (0.02)	461 (0.01)	767 (0.007)	1152 (0.005)	1534 (0.003)	2303
1	8 (4.4)	13 (2.7)	18 (2.0)	25 (1.4)	38 (0.94)	55 (0.65)	77 (0.46)	129	195 (0.18)	258 (0.14)	390	555 (0.06)	778 (0.045)	1296 (0.027)	1946 (0.018)	2592	3891
2	11 (7.4)	18 (4.5)	25 (3.4)	34 (2.24)	52 (1.6)	75 (1.1)	105 (0.78)	176	266 (0.31)	354 (0.23)	533	759 (0.11)	1065	1773 (0.045)	2662	3547	5323
3	13 (10.5)	22 (6.2)	32 (4.4)	43 (3.2)	65 (2.1)	94 (1.5)	132 (1.0)	221	333 (0.41)	444	668 (0.20)	953 (0.14)	1337 (0.10)	2226 (0.062)	3341	4452	6681
4	16 (12.3)	27 (7.3)	38 (5.3)	52 (3.9)	78 (2.6)	113 (1.8)	158 (1.3)	265 (0.75)	398 (0.50)	531 (0.37)	798 (0.25)	(0.17)	(0.12)	2663 (0.074)	3997 (0.049)	5327 (0.037)	7994 (0.025)
5	19 (13.8)	31 (8.4)	45 (6.0)	60 (4.4)	91 (2.9)	131 (2.0)	184 (1.4)	308 (0.85)	462 (0.57)	617	927 (0.28)	1323 (0.20)	1855 (0.14)	3090 (0.085)	4638	6181	9275 (0.028)
6	21 (15.6)	35 (9.4)	51 (6.6)	68 (4.9)	104 (3.2)	149 (2.2)	209 (1.6)	349 (0.94)	528 (0.62)	700 (0.47)	1054 (0.31)	1503 (0.22)	2107 (0.155)	3509 (0.093)	5267 (0.062)	7019 (0.047)	10533
7	24 (16.6)	39 (10.2)	57 (7.2)	77 (5.3)	116 (3.5)	166 (2.4)	234 (1.7)	390 (1.0)	589 (0.67)	783 (0.51)	1178 (0.34)	1680 (0.24)	2355 (0.17)	3922 (0.101)	5886 (0.067)	7845	11771
8	26 (18.1)	43 (10.9)	63 (7.7)	85 (5.6)	128 (3.7)	184 (2.6)	258 (1.8)	431 (1.1)	648 (0.72)	864 (0.54)	1300 (0.36)	1854 (0.25)	2599 (0.18)	4329 (0.108)	6498 (0.072)	8660 (0.054)	12995 (0.036)
9	28 (19.4)	47 (11.5)	69 (8.1)	93 (6.0)	140 (3.9)	201 (2.7)	282 (1.9)	471 (1.2)	709 (0.77)	945 (0.58)	1421 (0.38)	2027 (0.27)	2842 (0.19)	4733 (0.114)	7103 (0.077)	9468 (0.057)	14206 (0.038)
10	31 (19.9)	51 (12.1)	75 (8.4)	100 (6.3)	152 (4.1)	218 (2.9)	306 (2.0)	511 (1.2)	770 (0.80)	1025 (0.60)	1541 (0.40)	2199 (0.28)	3082 (0.20)	5133 (0.120)	7704 (0.080)	10268 (0.060)	15407 (0.040)
11	33 (21.0)	54 (12.8)	83 (8.3)	111 (6.2)	166 (4.2)	238 (2.9)	332 (2.1)	555 (1.2)	832 (0.83)	1109 (0.62)	1664 (0.42)	2378 (0.29)	3323 (0.21)	5546 (0.12)	8319 (0.083)	11092 (0.062)	16638 (0.042)
12	36 (21.4)	59 (13.0)	89 (8.6)	119 (6.5)	178 (4.3)	254 (3.0)	356 (2.2)	594 (1.3)	890 (0.86)	1187 (0.65)	1781 (0.43)	2544 (0.3)	3562 (0.22)	5936 (0.13)	8904 (0.086)	11872 (0.065)	17808 (0.043)
13	38 (22.3)	63 (13.4)	95 (8.9)	126 (6.7)	190 (4.5)	271 (3.1)	379 (2.26)	632 (1.3)	948 (0.89)	1264 (0.67)	1896 (0.44)	2709 (0.31)	3793 (0.22)	6321 (0.134)	9482 (0.089)	12643 (0.067)	18964 (0.045)
14	40 (23.1)	67 (13.8)	101 (9.2)	134 (6.9)	201 (4.6)	288 (3.2)	403 (2.3)	672 (1.4)	1007 (0.92)	1343 (0.69)	2015 (0.46)	2878 (0.32)	4029 (0.23)	6716 (0.138)	10073 (0.092)	13431 (0.069)	20146 (0.046)
15	43 (23.3)	71 (14.1)	107 (9.4)	142 (7.1)	213 (4.7)	305 (3.3)	426 (2.36)	711 (1.41)	1066 (0.94)	1422 (0.71)	2133 (0.47)	3046 (0.33)	4265 (0.235)	7108 (0.141)	10662 (0.094)	14216 (0.070)	
16	45 (24.1)	74 (14.0)	112 (9.7)	150 (7.2)	225 (4.8)	321 (3.37)	450 (2.41)	750 (1.44)	1124 (0.96)	1499 (0.72)	<i>`</i>	·	4497 (0.241)	7496 (0.144)	11244 (0.096)	14992 (0.072)	<u>`</u>
17									(0.98)	(0.74)	(0.49)	(0.344)		(0.148)	11819 (0.098)	15759 (0.074)	23639 (0.049)
18		83 (15.0)						826 (1.51)		(0.75)	(0.50)				(0.100)		(0.050)
19									(1.02)			(0.358)	(0.256)		(0.102)	· · · · · · · · · · · · · · · · · · ·	(0.051)
20										(0.78)					(0.104)		(0.052)
26	65 (27.0)	109 (16.1)	163 (10.8)	217 (8.08)	326 (5.38)	466 (3.76)	652 (2.69)	1086 (1.61)	1629 (1.08)			4656 (0.376)			16295 (0.108)		32589 (0.054)

(1) Sample sizes are based upon the Poisson exponential binomial limit.

(2) The minimum quality (approximate AQL) required to accept (on the average) 19 of 20 lots is shown in parentheses for information only.



#### ANNEXE I

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#### LTPD SAMPLING PLAN LOT SIZES LESS THAN, OR EQUAL TO, 200 DEVICES

C=0												
N	10	20	30	40	50	60	80	100	120	150	160	200
n 2	AQL LTPD 22 65	AQL LTPD 2566	AQL LTPD 2.5 67	AQL LTPD 2567	AQL LTPD 2567	AQL LTPD 2.5 68	AQL LTPD 2568					
4	1236	12 40	1.2 42	12 42	13 42	1343	1343	2.5 68 1343	2.5 68 1 3 43	2568 1343	2568 1344	2568 1344
5 8	1029 0.515	1 0 33 0.6 20	1034 0622	1035 0623	1.0 35 06 23	1035 0623	1.0 36 06 24	1036 0724	1037 0.724	1037 0724	1037 0724	1037
10	0.0 10	0.4 15	0.5 17	0519	0.5 19	0.5 19	0.5 20	0520	0.5 20	05 20	0520	0725 0.520
16 20		02 69	02510 0268	02511 0.280	0311 0.2587	0312 02590	0312 0259.4	0313 0.2510	0313	0313	0313 02510	0313
25			0 15 4 3	01557	02 64	025 90	025 9.4	02 75	0.25 10 02 76	02510 0277	025 10	02511 0279
32 40				01 37	01 44 01 3.0	01 50 0.1 3.4	01 55 0.1 40	01 5.9 0.1 4.5	0 15 6 0 0.1 4.6	01562 0149	01563 0.15.0	01563 0155.0
50						01 23	01 29	0 10 3.3	0 10 3 5	0.10 37	0 10 3 7	0 10 3 9
64 80							0 08 1.7	0082.2 00715	0 08 2 5	00827 00720	00828 00721	00829 00722
100									0 05 1.1	0 05 1 5	00515	00517
125 128										0 04 0.8	0 04 0.9	0.04 1.2
160										0.04 0.0	0 04 0.3	0.03 0.7
G=1												
N	10	20	30	40	50	60	80	100	120	150	160	200
n 2	AQL LTPD 27 95	AQL LTPD 24 95	AQL LTPD 24 95	AQL LTPD 23 95	AQL LTPD 23 95	AQL LTPD 23 95	AQL LTPD 23 95	AQL LTPD 23 95	AQL LTPD 23 95	AQL LTPD 22 95	AQL LTPD 22 95	AQL LTPD 22 95
4	15 62	12 66	12 66	11 67	11 67	10 67	10 67	10 67	10 67	9867	9767	9768
5 8	13 51 11 28	10 55 7235	8856 6238	8557 5838	8.4 57 5.4 39	8158 5039	7958 4739	7658 4539	7558 4339	7558 4340	7558 4240	7558 4240
10		6.2 30	50 30	4631	4 2 32	4 2 32	4232	3.9 33	3533	3333	3333	3 3 33
16 20		5615	4218 4013	3818 3215	3420 2816	3020 2516	2921 2416	2621 2316	2521 2117	2321 2017	2322 2017	2 2 22 2.0 18
25 32			38 92	3111 3174	25 12	22 13	20 13	1813	1.7 13	1.6 14	16 14	1614
40				3174	24 82 24 59	2.1 90 21 68	18 99 16 76	1610 1478	15 105 13 82	1.4 11 1.2 8.3	1311 1284	1.3 11 12 86
50 64						17 46	14 56	12 6.1	1.2 6 4	10 65	09 67	09 67
80							13 38	11 44 11 30	10 47 10 34	08 50 08 37	0.8 50 07 38	07 5.2 06 40
100 125									09 25	07 28 07 19	07 28 07 20	06 30 05 22
128							w			07 19	07 20	0.5 22
160						0-0						0.5 1.5
N	10	20	30	40	50	C=2 60	80	100	100	150	100	000
n		AQL LTPD										
4	33 82	28 83	27 84	27 85	27 85	26 85	26 85	26 86	26 86	25 86	25 86	25 86
5 8	27 69 22 42	23 73 15 49	21 74 14 49	20 74 13 52	20 74 13 52	20 75 13 52	20 75 12 53	19 75 12 53	19 75 12 53	19 75 11 53	19 75 11 53	19 75 11 53
10		13 39	11 42	11 42	10 43	10 43	9643	9244	9.1 44	8944	8944	87 44
16 20		11 22	8625 7719	6927 6221	6827 5922	6427 5622	6028 5.123	6.0 29 4 8 23	5.9 29 4 8 23	5929 4623	5729 4524	5530 4524
25 32			7413	6.0 16	4917	45 17	4318	4118	3918	3718	3719	3719
32 40				55 11	4812 4689	4313 3998	3614 3111	3414 2812	3214 2612	30145 2.412	3015 2412	2915 2312
50 64						35 6.9	28 81	24 84	2.3 86	21 90	2.1 93	20 95
80							26 57	22 62 21 45	20 66 18 49	18 71 16 54	1771 1554	16 74 14 56
100 125									18 35	14 39	14 40	12 44
128										1.4 2.8 14 26	1.3 2.9 13 29	11 <u>33</u> 11 <u>32</u>
160												1.1 2.3



#### <u>ANNEXE I</u>

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This table gives the AQL and LTPD values associated with certain single sampling plans (Acceptance Number "C", Sample Size "n" and Lot Size "N"). The table has the following features:-

- (a) Calculations are based upon the hyper-geometric distribution (exact theory) for lot sizes of 200 devices or less.
- (b) The AQL of a sampling plan is defined as the interpolated Percent Defective for which there is a 0.95 probability of acceptance under the plan. The AQL so defined need not be a realisable Lot Percent Defective for the lot size involved (e.g., 12 percent is not a realisable Percent Defective for a lot size of 20 devices).
- (c) The LTPD of a sampling plan is defined as the interpolated Percent Defective for which there is a 0.10 probability of lot acceptance under the plan. The LTPD so defined need not be a realisable Lot Percent Defective for the lot size involved.
- (d) The sequence of sample sizes and lot sizes are generated by taking products of preceding numbers in the respective sequences and the numbers 2 and 5.